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PTO/SB/21 (modified)

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U.S. Department of Commerce
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TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

		Application Number	09/775,517
		Filing Date	February 1, 2001
		First Named Inventor	Beerud D. Sheth
		Group Art Unit Number	3624
		Examiner Name	Vincent A. Millin
Total Number of Pages in This Submission	7*	Attorney Docket Number	21673-05635

ENCLOSURES (check all that apply)

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<input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A	<input checked="" type="checkbox"/> Copy of document entitled "Limited Recognition Under 37 CFR §10.9(b)"
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REMARKS: *not including cited references

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SIGNATURE OF ATTORNEY OR AGENT

Signature:	<i>Jae Won Song</i>
Attorney/Reg. No.:	Jae Won Song, 37 C.F.R. §10.9(b)
Dated: April 8, 2003	

CERTIFICATE OF MAILING

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Signature:	<i>Jae Won Song</i>
Typed or Printed Name:	Jae Won Song
Dated: April 8, 2003	
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Expires: June 3, 2003



Harry I. Moatz
Director of Enrollment and Discipline



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Beerud D. Sheth
APPLICATION NO.: 09/775,517
FILING DATE: February 1, 2001
TITLE: Method and System for an On-Line Private Marketplace
EXAMINER: Vincent A. Millin
GROUP ART UNIT: 3624
ATTY. DKT. NO.: 21673-05635

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Dated: April 8, 2003

By: 
Jae Won Song, 37 C.F.R. §10.9(b)

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

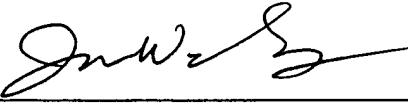
- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
 - on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - Applicant certifies pursuant to 37 CFR. § 1.97(e) that:

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No.[APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
BEERUD D. SHETH

Dated: April 8, 2003

By: 
Jae Won Song, 37 C.F.R. §10.9(b)
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete if Known	
Application No.	09/775,517
Filing Date	February 1, 2001
First Named Inventor	Beerud D. Sheth
Art Unit	3624
Examiner Name	Vincent A. Millin

Attorney Docket Number

21673-05635

GROUP 3600

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U.S. PATENT DOCUMENTS

Document No.			
Examiner Initials*	Cite No. ¹	Number – Kind Code ² (if known)	Date MM-DD-YYYY
	A	US 2002/0120522 A1	8-29-2002
	B	US 2002/0023046 A1	2-21-2002
	C	US 6,336,105 B1	1-01-2002
	D	US 2001/0011222 A1	8-02-2001
	E	US 6,223,177 B1	4-24-2001
	F	US 6,141,653	10-31-2000
	G	US 5,732,400	3-24-1998

FOREIGN PATENT DOCUMENTS

Foreign Patent Document			
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Date MM-DD-YYYY
	H	WO 01/73645 A1	10-4-2001
			Realitybuy.Com, Inc.

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	I	International Search Report for International Application No. PCT/US02/02291, dated February 28, 2003.	
	J	"Netscape Selects Netopia as the Exclusive 'Virtual Office' Offering on the New Netscape Small Business Source Service, PR Newswire, May 11, 1998, Mountain View and Alameda, California	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

21673/05635/DOCS/1340651.1